

# Call Trees

(Tail) Recursion

Procter  
from Amtoft  
from Hatcliff

```
fun sum_list nil = 0
| sum_list (x::xs) = x + sum_list xs
```

has a **linear** call-tree

```
sum_list ([2, 1])
  |
sum_list ([1])
  |
sum_list (nil)
```

```
fun fib 0 = 0
| fib 1 = 1
| fib n = fib (n-1) + fib (n-2)
```

has a **non-linear** (**branching**) call-tree

```
fib (3)
 /  \
fib (2) fib (1)
 /  \
fib (0) fib (1)
```

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# Stacking Bindings

(Tail) Recursion

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```
fun reverse nil = nil
|   reverse (x::xs) = reverse xs @ [x]
- val L = [1,2,3];
- reverse(L);
```

Environment during recursion: (see p. 67)

		.. added in reverse(nil)
xs	nil	
x	3	.. added in reverse([3])
xs	[3]	
x	2	.. added in reverse([2,3])
xs	[2,3]	
x	1	.. added in reverse([1,2,3])
L	[1,2,3]	
...		.. top level environment

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```
fun reverse nil = nil
| reverse (x::xs) = (reverse xs) @ [x]
```

- ▶ Consider calling `reverse` on a list of length  $n$ 
    - ▶ it makes  $n$  calls to `append`
    - ▶ which takes time  $1, 2, \dots, n-2, n-1, n$
- the running time is thus **quadratic**.

# Performance Test

(Tail) Recursion

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We need **generator** of **large** data:

```
fun from i j =  
  if i > j then nil  
  else i :: from (i+1) j
```

Execute `reverse L` where `L` is the value of `(from 1 n)`

$n$	running time
10,000	2 seconds
20,000	7 seconds
40,000	34 seconds
100,000	very slow

When testing `sum_list`, we rather want

```
fun ones 0 = nil  
  | ones n = 1 :: ones (n-1)
```

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```
fun reverse nil = nil  
|   reverse (x::xs) = (reverse xs) @ [x]
```

Why must we call `append`?

- ▶ `::` only allows us to add items in **front** of list
- ▶ `reverse` does non-trivial computation only when going **up** the tree

We might consider doing computation when going **down** the tree

# Passing Results Down In Call Tree

(Tail) Recursion

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from Hatcliff

Recall that list reversal is special case of `foldl`

```
fun foldl f e nil = e
|   foldl f e (x::xs) = foldl f (f(x,e)) xs

fun my_reverse xs = foldl op:: nil xs;
```

Specializing `foldl` wrt `op::` yields

```
fun rev_acc e nil = e
|   rev_acc e (x::xs) = rev_acc (x::e) xs

fun reverse_acc xs = rev_acc nil xs
```

- ▶ `e` holds “the results so far”
- ▶ `e` is flowing down the tree, informing the recursion at the next level of something that we have **accumulated** at the current level

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# Performance Comparison

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- ▶ Recall that `reverse` had **quadratic** running time.
- ▶ Since `reverse_acc` uses no `append`, we expect **linear** running time.

When called on the value of from 1  $n$

$n$	<code>reverse</code>	<code>reverse_acc</code>
10,000	2 seconds	instantaneous
20,000	7 seconds	instantaneous
100,000	very slow	instantaneous
1,000,000	infeasible	3 seconds

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# Tail Recursion

(Tail) Recursion

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```
fun rev_acc e nil = e
| rev_acc e (x::xs) = rev_acc (x::e) xs
```

This function is **tail recursive**:

- ▶ no computation happens after the recursive call
- ▶ value of recursive call is the return value
- ▶ thus, no variables are referenced after recursive call

This kind of recursion is actually **iteration** in disguise!



# Iterative Reverse

(Tail) Recursion

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```
fun rev_acc e nil = e  
|   rev_acc e (x::xs) = rev_acc (x::e) xs
```

can be converted to “pseudo-C (renaming `e` to `acc`):

```
list reverse(xs:list) {  
  list acc;  
  acc = [];  
  while (xs != nil) do {  
    acc = hd(xs) :: acc;  
    xs = tl(xs);  
  }  
  return acc;  
}
```

- ▶ `acc` holds result
- ▶ `xs` and `acc` are updated each time through the loop

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# Tail Recursion versus Non-Tail Recursion

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```
(* version 1: without accumulator*)  
fun reverse nil = nil  
|   reverse (x::xs) = reverse xs @ [x]  
  
(* version 2: with accumulator *)  
fun rev_acc e nil    = e  
|   rev_acc e (x::xs) = rev_acc (x::e) xs
```

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X is used after recursion in v.1, but not in v.2

- ▶ for tail-recursive functions, we do thus **not** need to stack variable bindings for the recursive calls
- ▶ parameter passing can be **implemented in the compiler** by **destructive** updates (that is, assignment)!

Computation occurs after recursion in v.1, but not in v.2

- ▶ for tail-recursive functions, we do thus **not** need to stack return addresses; a call can be **implemented** in the compiler as a **goto!**

# Parameter “Assignment”

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The tail-recursive function

```
fun f(y_1, ..., y_n) =  
    ...  
    f(<exp-1>, ..., <exp-n>)
```

*...is roughly equivalent to...*

```
... f(y_1, ..., y_n) {  
    while ... {  
        ...  
        ...  
        y_1 = <exp-1>;  
        ...  
        y_n = <exp-n>;  
    }  
}
```

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# Converting SumList to Tail Recursion

(Tail) Recursion

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```
fun sum_list nil = 0
| sum_list (x::xs) = x + sum_list xs
```

- ▶ The recursive calls are unfolded until we reach the end of the list, from where we then move to the left while summing the results.

```
fun sum_list_acc acc nil = acc
| sum_list_acc acc (x::xs) =
  sum_list_acc (x+acc) xs
```

- ▶ Summation proceeds while moving left to right.
- ▶ Top-level call: `sum_list_acc 0 xs`

Performance comparison on the value of ones  $n$

$n$	<code>sum_list</code>	<code>sum_list_acc</code>
4,000,000	5 seconds	instantaneous
5,000,000	21 seconds	instantaneous

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# Tail-Recursive MultList

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```
fun mult_list_acc acc nil = acc
|   mult_list_acc acc (x::xs) =
    mult_list_acc (x*acc) xs
```

**Question:** what happens if we hit a 0?

```
fun mult_list_acc_exit acc nil = acc
|   mult_list_acc_exit acc (x::xs) =
    if x = 0 then 0 else
    mult_list_acc_exit (x*acc) xs
```

In C, we might have

```
int mult_list(xs: list) {
    int acc;
    acc = 1;
    while (xs != nil) do {
        if (hd(xs) = 0) then
            return 0;          /* escape */
        else
            acc = hd(xs) * acc;
            xs = tl(xs);
    }
    return acc;
}
```

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# Making Fibonacci Tail-Recursive

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```
fun fib 0 = 0
|   fib 1 = 1
|   fib n = fib (n-2) + fib (n-1)
```

has a branching call-tree, and can be made tail-recursive by using **two** accumulating parameters:

```
fun fib_acc prev curr n =
  if n = 1 then curr
  else fib_acc curr (prev+curr) (n-1)

fun fibonacci_acc n =
  if n = 0 then 0 else fib_acc 0 1 n
```

## Performance comparison

<i>n</i>	<code>fib</code>	<code>fibonacci_acc</code>
42	7 seconds	instantaneous
43	11 seconds	instantaneous
44	17 seconds	instantaneous

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# Correctness of Tail-Recursive Fibonacci

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With  $F$  the fibonacci function we have

$$F(0) = 0; F(1) = 1; F(n) = F(n-2) + F(n-1)$$

which can be tail-recursively implemented by

```
fun g(n, prev, curr) =  
  if n = 1 then curr  
  else g(n-1, curr, prev+curr)
```

Correctness Lemma: for all  $n \geq 1, k \geq 0$ :

$$g(n, F(k), F(k+1)) = F(n+k)$$

This can be proved by **induction** in  $n$ .

- ▶ the **base case** is  $n = 1$  which is obvious.
- ▶ for the **inductive case**,  $n > 1$ ,

$$\begin{aligned}g(n, F(k), F(k+1)) &= g(n-1, F(k+1), F(k)+F(k+1)) = \\ &= g(n-1, F(k+1), F(k+2)) = F((n-1)+(k+1)) = F(n+k)\end{aligned}$$

Thus  $F(n) = g(n, F(0), F(1)) = g(n, 0, 1)$ .

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- ▶ a tail-recursive function is one where the function performs **no** computation **after** the recursive call
- ▶ a good SML compiler will **detect** tail-recursive functions and **implement** them iteratively
  - ▶ as loops
  - ▶ there is no need to stack bindings or return addresses
  - ▶ recursive calls become **gotos**
  - ▶ we can think of arguments as being “assigned to” (destructively update) formal parameters.
- ▶ this substantially reduces execution time and space (for stack) overhead